

# Projectivity criterion of Moishezon spaces and density of projective symplectic varieties

Yoshinori Namikawa

## Abstract.

A Moishezon manifold is a projective manifold if and only if it is a Kähler manifold [Mo 1]. However, a singular Moishezon space is not generally projective even if it is a Kähler space [Mo 2]. Vuono [V] has given a projectivity criterion for Moishezon spaces with isolated singularities. In this paper we shall prove that a Moishezon space with 1-rational singularities is projective when it is a Kähler space (Theorem 6).

We shall use Theorem 6 to show the density of projective symplectic varieties in the Kuranishi family of a (singular) symplectic variety (Theorem 9), which is a generalization of the result by Fujiki [Fu 1, Theorem 4.8] to the singular case.

In the Appendix we give a supplement and a correction to the previous paper [Na] where singular symplectic varieties are dealt with.

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## 1. Projectivity of a Kähler Moishezon variety

In this paper, a compact complex variety means a compact, irreducible and reduced complex space. We mean by a Moishezon variety  $X$  a compact complex variety  $X$  with  $n$  algebraically independent meromorphic functions, where  $n = \dim X$ , [Mo 1].

For a complex space  $X$  we say that  $X$  is Kähler if  $X$  admits a Kähler metric (form) in the sense of [Gr], [Mo 2] (cf. [B]).

**Definition 1.** Let  $X$  be a compact complex variety.

(1) An element  $b \in H_2(X, \mathbf{Q})$  is an *analytic homology class* if  $b$  is represented by a 2-cycle  $\sum \alpha_j C_j$  where  $C_j$  are complex subvarieties of dimension 1 and  $\alpha_j \in \mathbf{Q}$ . Denote by  $A_2(X, \mathbf{Q})$  the subspace of  $H_2(X, \mathbf{Q})$  spanned by analytic homology classes. Define  $A_2(X, \mathbf{R}) := A_2(X, \mathbf{Q}) \otimes_{\mathbf{Q}} \mathbf{R}$ .

(2) For an element  $b \in A_2(X, \mathbf{Q})$  and a line bundle  $L$  of  $X$ , the intersection number  $(b, L)$  is well-defined. Two elements  $b, b'$  of  $A_2(X, \mathbf{Q})$  are said to be numerically equivalent if  $(b, L) = (b', L)$  for all line bundles  $L$  on  $X$ . Denote by

$N_1(X)_{\mathbf{Q}}$  the quotient  $\mathbf{Q}$ -vector space of  $A_2(X, \mathbf{Q})$  by this numerical equivalence. Define  $N_1(X)_{\mathbf{R}} := N_1(X)_{\mathbf{Q}} \otimes \mathbf{R}$ .

(3) Define  $\text{Pic}(X)_{\mathbf{Q}} := \text{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{Q}$  and  $\text{Pic}(X)_{\mathbf{R}} := \text{Pic}(X) \otimes_{\mathbf{Z}} \mathbf{R}$ . Two line bundles  $L$  and  $L'$  are numerically equivalent if  $(b, L) = (b, L')$  for all  $b \in A_2(X, \mathbf{Q})$ . Let  $N^1(X)$  be the abelian group of numerical classes of line bundles on  $X$ . Define  $N^1(X)_{\mathbf{Q}} := N^1(X) \otimes_{\mathbf{Z}} \mathbf{Q}$  and  $N^1(X)_{\mathbf{R}} := N^1(X) \otimes_{\mathbf{Z}} \mathbf{R}$ .

**Proposition 2** ([Ko-Mo, (12.1.5)]) *Let  $X$  be a Moishezon variety with 1-rational singularities, that is,  $X$  is normal and has a resolution  $\pi : Y \rightarrow X$  such that  $R^1\pi_*\mathcal{O}_Y = 0$ . Then an analytic homology class  $b \in A_2(X, \mathbf{Q})$  is zero if it is numerically equivalent to 0. In particular,  $A_2(X, \mathbf{Q}) = N_1(X)_{\mathbf{Q}}$ .*

*Sketch of proof.* Let  $\pi : Y \rightarrow X$  be a resolution such that  $Y$  is projective. Let  $N_1(Y/X)_{\mathbf{Q}}$  be the subspace of  $N_1(Y)_{\mathbf{Q}}$  generated by the classes of curves contained in a fiber of  $\pi$ . We have an exact sequence

$$0 \rightarrow N_1(Y/X)_{\mathbf{Q}} \rightarrow N_1(Y)_{\mathbf{Q}} \rightarrow N_1(X)_{\mathbf{Q}} \rightarrow 0.$$

We use the condition  $R^1\pi_*\mathcal{O}_Y = 0$  to prove the middle exactness of the sequence.

We may assume that  $b$  is represented by a curve  $C$  on  $X$ . By cutting out  $\pi^{-1}(C)$  by general hyperplane sections of  $Y$ , we can find a curve  $C'$  such that, as a cycle,  $\pi_*(C') = mC$  for a positive integer  $m$ . Define  $b' := [(1/m)C'] \in N_1(Y)_{\mathbf{Q}}$ . By the exact sequence,  $b' \in N_1(Y/X)_{\mathbf{Q}}$ . Thus  $b'$  is represented by a  $(\mathbf{Q})$ -curve  $D$  contained in some fibers of  $\pi$ . By definition,  $D$  and  $(1/m)C'$  are numerically equivalent. Since numerical equivalence and homological equivalence coincide on  $Y$  (cf. [Mo 1, page 83, Theorem 9]), these are also homological equivalent. Therefore,  $\pi_*(D)$  and  $\pi_*((1/m)C')$  are homologically equivalent on  $X$ . Since  $[\pi_*(D)] = 0$ ,  $b = [\pi_*((1/m)C')] = 0$ .

**Lemma 3.** *Let  $X$  be a Kähler, Moishezon variety with a Kähler form  $\omega$ . Assume that an element  $L \in \text{Pic}(X)_{\mathbf{Q}}$  satisfies the following condition (\*\*).*

*(\*\*)* *For every curve  $C \subset X$ ,*

$$\int_C \omega = (C \cdot L).$$

*Then, for any subvariety  $W \subset X$  of dimension  $k$ ,  $(L^k)_W > 0$ .*

*Proof.* By the assumption,  $L$  is nef, hence  $(L^k)_W \geq 0$  (cf. [Kl]). We shall derive a contradiction by assuming that  $(L^k)_W = 0$ .

Put  $\omega' := \omega|_W$ . Then  $\omega'$  becomes a Kähler form of  $W$ , and  $W$  is a Kähler Moishezon variety. Let  $p \in W$  be a smooth point, and let  $h : \hat{W} \rightarrow W$  be the blowing up at  $p$ . Denote by  $E$  the inverse image  $h^{-1}(p)$ .  $E$  is a Cartier divisor of  $\hat{W}$ . By using a Hermitian metric on  $\mathcal{O}_{\hat{W}}(E)$ , one can define a  $d$ -closed  $(1, 1)$ -form  $\alpha_E$  on  $\hat{W}$  in such a way that  $\omega_\epsilon := h^*\omega - \epsilon\alpha_E$  becomes a Kähler form on  $\hat{W}$  if  $\epsilon > 0$  is sufficiently small.

Fix a sufficiently small rational number  $\epsilon > 0$ . Put  $L' := L|_W$  and  $F := h^*L' - \epsilon E$ . By the condition (\*\*), for every curve  $C \subset \hat{W}$ , we have  $(C.F) = \int_C (h^*\omega' - \epsilon \alpha_E)$ .

In particular,  $F$  is a nef  $\mathbf{Q}$ -line bundle on  $\hat{W}$ . Therefore,  $(F)^k \geq 0$ .

On the other hand, since  $(h^*L')^i(E)^{k-i} = 0$  for  $i \neq 0, k$ , we have  $(F)^k = (L')^k + \epsilon^k(-E)^k$ . By the assumption,  $(L')^k = 0$ . By the definition of  $E$ , we have  $(-E)^k < 0$ . Hence  $(F)^k < 0$ , a contradiction.

**Theorem 4** (cf. [Mo 1, page 77, Theorem 6]) *Let  $X$  be a Moishezon variety. Assume that an element  $L \in \text{Pic}(X)_{\mathbf{Q}}$  satisfies the inequality  $(L)_W^k > 0$  for every  $k$  dimensional subvariety  $W$  of  $X$ . Then  $L$  is ample.*

By Lemma 3 and Theorem 4 we have the following corollary.

**Corollary 5.** *Let  $X$  be a Kähler Moishezon variety with a Kähler form  $\omega$ . Assume that an element  $L \in \text{Pic}(X)_{\mathbf{Q}}$  satisfies the equality for any curve  $C \subset X$ :*

$$(C.L) = \int_C \omega.$$

*Then  $L$  is ample.*

**Theorem 6.** *Let  $X$  be a Moishezon variety with 1-rational singularities (cf. Proposition 2). If  $X$  is Kähler, then  $X$  is projective.*

*Proof.*

Since the numerical equivalence and the homological equivalence coincide for (analytic) 1-cycle by Proposition 2, we have a natural map  $\alpha : N^1(X)_{\mathbf{Q}} \rightarrow (A_2(X, \mathbf{Q}))^*$  and  $\alpha$  is an isomorphism.

Taking the tensor product with  $\mathbf{R}$ , we have a map  $\alpha_{\mathbf{R}} : N^1(X)_{\mathbf{R}} \rightarrow (A_2(X, \mathbf{R}))^*$  and  $\alpha_{\mathbf{R}}$  is an isomorphism. By the 2-nd cohomology class defined by the Kähler form  $\omega$  (cf. [B, (4.15)]) one can regard the Kähler form as an element of  $(A_2(X, \mathbf{R}))^*$ . Since  $\alpha_{\mathbf{R}}$  is surjective, there is an element  $d \in N^1(X)_{\mathbf{R}}$  such that  $(C.d) = \int_C \omega$  for every curve  $C \subset X$ .

Approximate  $d \in N^1(X)_{\mathbf{R}}$  by a convergent sequence  $\{d_m\}$  of rational elements  $d_m \in N^1(X)_{\mathbf{Q}}$ .

Let us fix the basis  $b_1, \dots, b_l$  of the vector space  $N^1(X)_{\mathbf{Q}}$ . Each  $b_i$  is represented by an element  $B_i \in \text{Pic}(X)$ . Now  $d$  (resp.  $d_m$ ) is represented by an element in  $\text{Pic}(X)_{\mathbf{R}}$  (resp.  $\text{Pic}(X)_{\mathbf{Q}}$ )  $D := \sum x_i B_i$  (resp.  $D_m := \sum x_i^{(m)} B_i$ ) such that  $\lim x_i^{(m)} = x_i$ .

Put  $E_m := D_m - D$ . Then there are  $d$  closed  $(1, 1)$ -forms  $\alpha_m$  corresponding to  $E_m$  such that  $\{\alpha_m\}$  uniformly converge to 0.

If  $m$  is chosen sufficiently large, then  $\omega_m := \omega + \alpha_m$  is a Kähler form. Since

$$(C.D_m) = \int_C \omega_m$$

for every curve  $C \subset X$ , we see that  $D_m$  is ample by Corollary 5.

**Corollary 6'.** *Let  $X$  be a Moishezon variety with rational singularities. If  $X$  is Kähler, then  $X$  is projective.*

**Remark.** If we do not assume that  $X$  has 1-rational singularities, Theorem 6 is no longer true (cf. [Mo 2]).

## 2. Application: Density of projective symplectic varieties

A symplectic variety is a compact normal Kähler space  $X$  with the following properties: (1) The regular part  $U$  of  $X$  has an everywhere non-degenerate holomorphic 2-form  $\Omega$ , and (2) for a (any) resolution of singularities  $f : \tilde{X} \rightarrow X$  such that  $f^{-1}(U) \cong U$ , the 2-form  $\Omega$  extends to a holomorphic 2-form on  $\tilde{X}$ . Here the extended 2-form may possibly degenerate along the exceptional locus. By definition,  $X$  has only canonical singularities, hence has only rational singularities.

If  $X$  has a resolution  $f : \tilde{X} \rightarrow X$  such that  $\Omega$  extends to an everywhere non-degenerate 2-form on  $\tilde{X}$ , then we say that  $X$  has a symplectic resolution.

Symplectic varieties with no symplectic resolutions are constructed as symplectic V-manifolds in [Fu 1]. Recently, O'Grady [O] has constructed such varieties as the moduli spaces of semi-stable torsion free sheaves on a polarized K3 surface (cf. [Na, Introduction]). His examples are no more V-manifolds.

These examples satisfy the following condition:

( $*$ ): The natural restriction map

$$H^2(X, \mathbf{Q}) \cong H^2(U, \mathbf{Q})$$

is an isomorphism.

In [Na] we have formulated the local Torelli problem for these symplectic varieties, and proved it. More precisely, we have proved it for a symplectic variety  $X$  with the following properties.

- (a):  $\text{Codim}(\Sigma \subset X) \geq 4$ , where  $\Sigma := \text{Sing}(X)$ ,
- (b):  $h^1(X, \mathcal{O}_X) = 0$ ,  $h^0(U, \Omega_U^2) = 1$ , and
- (c): ( $*$ ) is satisfied.<sup>1</sup>

Let  $X$  be a symplectic variety satisfying (a), (b) and (c). Let  $0 \in S$  be the Kuranishi space of  $X$  and  $\bar{\pi} : \mathcal{X} \rightarrow S$  be the universal family such that  $\bar{\pi}^{-1}(0) = X$ . Let  $\mathcal{U}$  be the locus in  $\mathcal{X}$  where  $\bar{\pi}$  is a smooth map. We denote by  $\pi$  the restriction  $\bar{\pi}$  to  $\mathcal{U}$ .  $S$  is nonsingular by the condition (a). Note that every fiber of  $\bar{\pi}$  is a symplectic variety satisfying (a), (b) and (c) (cf. [Na]).

The cohomology  $H^2(U, \mathbf{C})$  admits a natural mixed Hodge structure because  $U$  is a Zariski open subset of a compact Kähler space (cf. [Fu 2]). In our case,

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<sup>1</sup> Note that this condition is equivalent to the condition ( $*$ ) in [Na, Remark (2)] (cf. (b) in the proof of [Na, Prop. 9]).

because of condition (a), it is pure of weight 2, and the Hodge decomposition is given by

$$H^2(U, \mathbf{C}) = H^0(U, \Omega_U^2) \oplus H^1(U, \Omega_U^1) \oplus H^2(U, \mathcal{O}_U).$$

For details of this, see the footnote of the e-print version of [Na, p.21]. Moreover, the tangent space  $T_{S,0}$  at the origin is canonically isomorphic to  $H^1(U, \Theta_U)$ . By a holomorphic symplectic 2-form  $\Omega$ ,  $H^1(U, \Theta_U)$  is identified with  $H^1(U, \Omega_U^1)$ .

Let us fix a resolution  $\tilde{X}$  of  $X$ . For  $\alpha \in H^2(U, \mathbf{C})$ , denote by  $\tilde{\alpha} \in H^2(\tilde{X}, \mathbf{C})$  the image of  $\alpha$  by the map  $H^2(U, \mathbf{C}) \cong H^2(X, \mathbf{C}) \rightarrow H^2(\tilde{X}, \mathbf{C})$ . The holomorphic symplectic 2-form  $\Omega$  defines a holomorphic 2-form on  $\tilde{X}$  by the definition of a symplectic variety. We denote by the same symbol  $\Omega$  this holomorphic 2-form on  $\tilde{X}$ . Here we normalize  $\Omega$  so that  $\int_{\tilde{X}} (\Omega \bar{\Omega})^l = 1$ .

One can define a quadratic form  $q$  on  $H^2(U, \mathbf{C})$  by

$$q(\alpha) := l/2 \int_{\tilde{X}} (\Omega \bar{\Omega})^{l-1} \tilde{\alpha}^2 + (1-l) \int_{\tilde{X}} \Omega^l \bar{\Omega}^{l-1} \tilde{\alpha} \int_{\tilde{X}} \Omega^{l-1} \bar{\Omega}^l \tilde{\alpha},$$

where  $\dim X = 2l$ . Note that  $q$  is independent of the choice of the resolution  $\tilde{X}$ . The quadratic form  $q$  is defined over  $H^2(U, \mathbf{R})$ . By the same argument as [Be, Théorème 5, (a), (c)], we can write  $q(\alpha) = c_1(\int_{\tilde{X}} [f^* \omega]^{2l-2} \tilde{\alpha}^2) - c_2(\int_{\tilde{X}} [f^* \omega]^{2l-1} \tilde{\alpha})^2$  by a Kähler form  $\omega$  on  $X$  and by suitable positive real constants  $c_1$  and  $c_2$ . Let us define  $H_0^2(U, \mathbf{R}) := \{\alpha \in H^2(U, \mathbf{R}); \int_{\tilde{X}} [f^* \omega]^{2l-1} \tilde{\alpha} = 0\}$ . Then we have a direct sum decomposition  $H^2(U, \mathbf{R}) = H_0^2(U, \mathbf{R}) \oplus \mathbf{R}[\omega]$ , where  $H_0^2(U, \mathbf{R})$  and  $\mathbf{R}[\omega]$  are orthogonal with respect to  $q$ .

We shall prove that  $q([\omega]) > 0$ .

It is easily checked that  $q([\omega]) = l/2 \int_{\tilde{X}} (\Omega \bar{\Omega})^{l-1} [f^* \omega]^2$ . We assume that the resolution  $f : \tilde{X} \rightarrow X$  is obtained by a succession of blowing ups with smooth centers contained in the singular locus. Let  $\{E_i\}$  be the exceptional divisors of  $f$ . Then, for sufficiently small positive real numbers  $\epsilon_i$ ,  $[f^* \omega] - \sum \epsilon_i [E_i]$  is a Kähler class on  $\tilde{X}$  (cf. [Fu 3, Lemma 2]). By [W, Corollaire au Théorème 7, p.77] we have  $l/2 \int_{\tilde{X}} (\Omega \bar{\Omega})^{l-1} ([f^* \omega] - \sum \epsilon_i [E_i])^2 > 0$ . On the other hand, by [Na, Remark (1), p. 24] we have  $\int_{\tilde{X}} (\Omega \bar{\Omega})^{l-1} [f^* \omega] [E_i] = \int_{\tilde{X}} (\Omega \bar{\Omega})^{l-1} [E_i]^2 = 0$ ; hence we have  $q([\omega]) > 0$ .

Denote by  $Q : H^2(U, \mathbf{C}) \times H^2(U, \mathbf{C}) \rightarrow \mathbf{C}$  the symmetric bilinear form defined by  $q$ . With respect to  $Q$ ,  $H^0(U, \Omega_U^2) \oplus H^2(U, \mathcal{O}_U)$  is orthogonal to  $H^1(U, \Omega_U^1)$ . Let us define  $N := \{v \in H^1(U, \Omega_U^1); Q(v, x) = 0 \text{ for any } x \in H^1(U, \Omega_U^1)\}$ . Since  $q([\omega]) > 0$  for a Kähler form  $\omega$  on  $X$ ,  $N$  does not coincide with  $H^1(U, \Omega_U^1)$ . By the identification of  $H^1(U, \Omega_U^1)$  with  $T_{S,0}$ , we regard  $N$  as a subspace of  $T_{S,0}$ .

Later we shall prove that the quadratic form  $q$  is non-degenerate and, in fact,  $N = 0$ . But, before doing this, we first prove the density of projective symplectic varieties in a rather incomplete form (cf. Proposition 7 below). After that we will show that  $q$  is non-degenerate by using Proposition 7. As a consequence,

we will see that, in Proposition 7, the assumption for  $T_{S_1,0}$  is not necessary; hence we can prove the density in a complete form (cf. Theorem 9).

**Proposition 7.** *Notation and assumptions being the same as above, let  $0 \in S_1 \subset S$  be a positive dimensional non-singular subvariety of  $S$  such that  $T_{S_1,0}$  is not contained in  $N$ . Then, for any open neighborhood  $0 \in V \subset S$ , there is a point  $s \in V \cap S_1$  such that  $\mathcal{X}_s$  is a projective symplectic variety.*

*Proof.* We may assume that  $\dim S_1 = 1$ . Denote by  $\mathcal{X}_1$  the fiber product  $\mathcal{X} \times_S S_1$  and denote by  $\bar{\pi}_1$  the induced map from  $\mathcal{X}_1$  to  $S_1$ . Take a resolution of singularities  $\nu : \mathcal{Y}_1 \rightarrow \mathcal{X}_1$  in such a way that  $\nu$  is an isomorphism over smooth locus of  $\mathcal{X}_1$ . We also assume that  $\nu$  is obtained by the succession of blowing ups with smooth centers. So there exists a  $\nu$ -ample divisor of the form  $-\sum \epsilon_i \mathcal{E}_i$ , where  $\mathcal{E}_i$  are  $\nu$ -exceptional divisors and  $\epsilon_i$  are positive rational numbers.

Let  $S_1^0$  be the set of points  $s \in S_1$  where  $(\bar{\pi}_1 \circ \nu)^{-1}(s)$  are smooth. Then  $S_1^0$  is a non-empty Zariski open subset of  $S_1$ .

We may assume that  $0 \in S_1^0$ . In fact, if  $0 \notin S_1^0$ , then we take a point  $s \in S_1^0 \cap V$ . Then the family  $\bar{\pi} : \mathcal{X} \rightarrow S$  can be regarded as the Kuranishi family of  $\mathcal{X}_s$  near  $s \in S$  because  $H^0(X, \Theta_X) = 0$  by the condition (b). For this point  $s \in S$ ,  $\mathcal{X}_s$  satisfies all conditions (a), (b) and (c). So, if the theorem holds for  $\mathcal{X}_s$ , then we can find a point  $s' \in S_1^0 \cap V$  where  $\mathcal{X}_{s'}$  is projective.

In the remainder we shall assume that  $0 \in S_1^0$ . Thus, if  $S$  is chosen sufficiently small, then  $\nu : \mathcal{Y}_1 \rightarrow \mathcal{X}_1$  is a simultaneous resolution of  $\{\mathcal{X}_{1,s}\}$ ,  $s \in S_1$ .

**Claim** *For any open neighborhood  $0 \in V \subset S$ , there is a point  $s \in V \cap S_1$  such that  $\mathcal{Y}_{1,s}$  is a projective variety.*

If the claim is justified, then, for such a point  $s$ ,  $\mathcal{X}_{1,s}$  is a Moishezon variety. On the other hand,  $\mathcal{X}_{1,s}$  is a symplectic variety satisfying (a), (b) and (c) (cf. [Na]). In particular,  $\mathcal{X}_{1,s}$  is a Kähler Moishezon variety with rational singularities. By Theorem 6, we conclude that  $\mathcal{X}_{1,s}$  is projective.

*Proof of Claim.*

(i): Put  $Y = \mathcal{Y}_{1,0}$ . By definition of  $\mathcal{X}_1$ ,  $\mathcal{X}_{1,0} = X$ . The bimeromorphic map  $\nu_0 : Y \rightarrow X$  is a resolution of singularities. Put  $E_i := \mathcal{E}_{i,0}$  where  $\mathcal{E}_i$  are  $\nu$ -exceptional divisors. By the construction of  $\nu$ , there are positive rational numbers  $\epsilon_i$  such that  $-\sum \epsilon_i E_i$  is  $\nu_0$ -ample.

(ii): We have a constant sheaf  $R^2 \bar{\pi}_* \mathbf{C}$  on  $S$ . There is an isomorphism  $R^2 \bar{\pi}_* \mathbf{C} \otimes_{\mathbf{C}} \mathcal{O}_S \cong R^2 \pi_* \mathbf{C} \otimes_{\mathbf{C}} \mathcal{O}_S$ . The right hand side is filtered as  $R^2 \pi_* \mathbf{C} \otimes_{\mathbf{C}} \mathcal{O}_S = \mathcal{F}^0 \supset \mathcal{F}^1 \supset \mathcal{F}^2 \supset 0$  in such a way that  $Gr_{\mathcal{F}}^i = R^{2-i} \pi_* \Omega_{\mathcal{U}/S}^i$ .

Over each point  $s \in S$ , this filtration gives the Hodge decomposition of  $H^2(\mathcal{X}_s)$ . The natural mixed Hodge structure on  $H^2(\mathcal{X}_s)$  is pure, and its  $(i,j)$ -component  $H^{i,j}(\mathcal{X}_s)$  ( $i+j=2$ ) is given by  $H^j(\mathcal{U}_s, \Omega_{\mathcal{U}_s}^i)$ .

For  $a \in H^2(X, \mathbf{R}) = \Gamma(S, R^2 \bar{\pi}_* \mathbf{R})$ , define  $S_a$  to be the locus in  $S$  where  $a \in H^{1,1}(\mathcal{X}_s)$ .

Let  $\omega$  be a Kähler form on  $X$  and denote by  $[\omega] \in H^2(X, \mathbf{R}) (= H^2(U, \mathbf{R}))$  its

cohomology class (cf. [B, (4.15)]). Then the tangent space  $T_{S_{[\omega]},0}$  is isomorphic to  $\{v \in H^1(U, \Omega_U^1); Q(v, [\omega]) = 0\}$ , where we identify  $T_{S,0}$  with  $H^1(U, \Omega_U^1)$  as explained above. Let  $v_1 \in H^1(U, \Omega_U^1)$  be a generator of 1-dimensional vector space  $T_{S_1,0}$ .

Define a linear map  $Q_{v_1} : H^1(U, \Omega_U^1) \rightarrow \mathbf{C}$  by  $Q_{v_1}(x) = Q(v_1, x)$ . By the assumption, this map is surjective. By definition,  $\text{Ker}(Q_{v_1})$  is a complex hyperplane in  $H^1(U, \Omega_U^1)$ . Therefore,  $H^1(U, \Omega_U^1) \cap H^2(U, \mathbf{R})$  is not contained in  $\text{Ker}(Q_{v_1})$ . Hence we can find an element  $a$  in any small open neighborhood of  $[\omega] \in H^{1,1}(X) \cap H^2(X, \mathbf{R})$  in such a way that  $S_a$  intersects  $S_1$  in 0 transversely.

(iii): Let  $\alpha_{E_i}$  be a d-closed  $(1,1)$  form on  $Y$  corresponding to  $E_i$ . If necessary, by multiplying to  $\{\epsilon_i\}$  a sufficiently small positive rational number simultaneously, the d-closed  $(1,1)$  form

$$(\nu_0)^* \omega - \Sigma \epsilon_i \alpha_{E_i}$$

becomes a Kähler form on  $Y$  (cf. [Fu 3, Lemma 2]).

Take  $a \in H^{1,1}(X) \cap H^2(X, \mathbf{R})$  as in the final part of (ii). Then we can find a suitable d-closed  $(1,1)$ -form  $\omega'$  on  $Y$  which represents  $(\nu_0)^* a \in H^{1,1}(Y) \cap H^2(Y, \mathbf{R})$  in such a way that

$$\gamma := \omega' - \Sigma \epsilon_i \alpha_{E_i}$$

becomes a Kähler form on  $Y$ .

$[E_i]$  remains of  $(1,1)$  type in  $H^2(\mathcal{Y}_{1,s})$  for arbitrary  $s \in S_1$  because the Cartier divisor  $E_i$  extends sideways in the family  $\mathcal{Y}_1 \rightarrow S_1$ . Hence, by the choice of  $a$ ,  $(\nu_0)^* a - \Sigma \epsilon_i [E_i]$  is no more of type  $(1,1)$  for  $s \neq 0$  sufficiently near 0.

(iv): The last step is the same as the proof of [Fu 1, Theorem 4.8, (2)]. Approximate  $a \in H^{1,1}(X) \cap H^2(X, \mathbf{R})$  by a sequence of rational classes  $\{a_m\}$  ( $a_m \in H^2(X, \mathbf{Q})$ ).

We put  $b := (\nu_0)^* a - \Sigma \epsilon_i [E_i]$  and  $b_m := (\nu_0)^* a_m - \Sigma \epsilon_i [E_i]$ .

We take a  $C^\infty$  family of Kähler forms  $\{\gamma_s\}$  on  $\{\mathcal{Y}_{1,s}\}$  such that  $\gamma_0 = \gamma$ . For each  $s \in S_1$ , the cohomology class  $b_m$  is represented by a unique harmonic 2-form  $(\omega_m)(s)$  on  $\mathcal{Y}_{1,s}$  with respect to  $\gamma_s$ . If  $m$  is large, then  $(\omega_m)(s)$  becomes a Kähler form on  $\mathcal{Y}_{1,s}$  for some point  $s \in V \cap S_1$ . Since  $b_m$  is a rational cohomology class,  $\mathcal{Y}_{1,s}$  is a projective manifold by [Ko] for this  $s$ .

**Corollary 8.** *Let  $X$  be a symplectic variety of  $\dim n = 2l$  satisfying (a), (b) and (c). Let  $q$  be the quadratic form on  $H^2(U, \mathbf{R})$  defined above. Then  $q$  is non-degenerate and has signature  $(3, B - 3)$  where  $B := \dim H^2(U, \mathbf{R})$ .*

*Proof.* We first prove this corollary when  $X$  is projective. Let  $\omega$  be a Kähler form that comes from a very ample line bundle  $L$  on  $X$ . Take general global sections  $t_1, \dots, t_{n-2}$  of  $L$ . We put  $T_0 = X$  and, for  $1 \leq i \leq n-2$ ,

define  $T_i \subset X$  to be the common zeros of  $t_1, \dots, t_i$ . Put  $\Sigma_i = \Sigma \cap T_i$ , where  $\Sigma := \text{Sing}(X)$ . By the condition (a),  $\Sigma_{n-2} = \emptyset$  and  $T_{n-2}$  is a nonsingular surface. For simplicity we put  $T := T_{n-2}$ . By [H, Theorem 2], the pair  $(T_i - \Sigma_i, T_{i+1} - \Sigma_{i+1})$  is  $n-i-1$ -connected. In particular, the restriction maps  $H^2(T_i \setminus \Sigma_i, \mathbf{R}) \rightarrow H^2(T_{i+1} \setminus \Sigma_{i+1}, \mathbf{R})$  are all injective. Hence  $H^2(U, \mathbf{R}) \rightarrow H^2(T, \mathbf{R})$  is an injection. Note that both sides have pure Hodge structures of weight 2 (cf. the footnote on p.21 of the e-print version of [Na]) and this injection is a morphism of Hodge structures. Define  $H_0^2(T, \mathbf{R}) := \{\alpha \in H^2(T, \mathbf{R}); (\omega|_T) \cdot \alpha = 0\}$ . Then we have the restriction map  $H_0^2(U, \mathbf{R}) \rightarrow H_0^2(T, \mathbf{R})$ , which is an injection. This restriction map induces an injection  $H_0^{1,1}(U)_{\mathbf{R}} \rightarrow H_0^{1,1}(T)_{\mathbf{R}}$ , where  $H_0^{1,1}(U)_{\mathbf{R}} := H^{1,1}(U) \cap H_0^2(U, \mathbf{R})$  and  $H_0^{1,1}(T)_{\mathbf{R}} := H^{1,1}(T) \cap H_0^2(T, \mathbf{R})$ . Let  $q'$  be the quadratic form on  $H_0^{1,1}(T)_{\mathbf{R}}$  defined by the cup product. By the definition of  $H_0^2(U, \mathbf{R})$ ,  $q|_{H_0^{1,1}(U)_{\mathbf{R}}} = c_1 q'|_{H_0^{1,1}(U)_{\mathbf{R}}}$  for a suitable positive real constant  $c_1$ . Since  $q'|_{H_0^{1,1}(U)_{\mathbf{R}}}$  is negative-definite,  $q|_{H_0^{1,1}(U)_{\mathbf{R}}}$  is also negative-definite. We have a direct sum decomposition with respect to  $q$ :

$$H^2(U, \mathbf{R}) = \mathbf{R}[\omega] \oplus (H^{2,0}(U) \oplus H^{0,2}(U))_{\mathbf{R}} \oplus H_0^{1,1}(U)_{\mathbf{R}},$$

where  $(H^{2,0}(U) \oplus H^{0,2}(U))_{\mathbf{R}} := (H^{2,0}(U) \oplus H^{0,2}(U)) \cap H^2(U, \mathbf{R})$ .

Since  $q$  is positive-definite on the first two factors,  $q$  has signature  $(3, B-3)$ . Therefore Corollary 8 has been proved when  $X$  is projective.

When  $X$  is non-projective, by Proposition 7, we can find a point  $s$  in any small open neighborhood  $V$  of  $0 \in S := \text{Def}(X)$  in such a way that  $\mathcal{X}_s$  is a projective symplectic variety. Since, for each  $s \in S$ ,  $\mathcal{X}_s$  is a symplectic variety with  $h^0(\mathcal{U}_s, \Omega_{\mathcal{U}_s}^2) = 1$ , we can find a symplectic form  $\Omega_s$  on each  $\mathcal{X}_s$  so that  $\int_{\mathcal{U}_s} (\Omega_s \bar{\Omega}_s)^l = 1$ . Let  $q_s$  be the quadratic form on  $H^2(\mathcal{U}_s, \mathbf{R})$  defined by  $\Omega_s$ . By using a natural flat structure in  $R^2\pi_* \mathbf{C} \otimes_{\mathbf{C}} \mathcal{O}_S$  ([Na, Theorem 8,(1)]),  $H^2(U, \mathbf{R})$  and  $H^2(\mathcal{U}_s, \mathbf{R})$  are identified. By the same argument as [Be, Théoreme 5], we see that  $q$  and  $q_s$  are proportional (by a positive constant) under this identification. Because  $\mathcal{X}_s$  is projective,  $q_s$  has signature  $(3, B-3)$ . Hence  $q$  also has signature  $(3, B-3)$ .

**Theorem 9.** *Notation and assumptions being the same as above, let  $0 \in S_1 \subset S$  be a positive dimensional non-singular subvariety. Then, for any open neighborhood  $0 \in V \subset S$ , there is a point  $s \in V \cap S_1$  such that  $\mathcal{X}_s$  is a projective symplectic variety.*

*Proof.* It suffices to prove that  $N = 0$  in Proposition 7. But this follows from Corollary 8.

### Appendix: Supplement to [Na]

In this appendix, we shall claim that Theorem 4 of [Na] remains true under a weaker condition. The exact statement is the following.

**Theorem A-4.** *Let  $X$  be a Stein open subset of a complex algebraic variety. Assume that  $X$  has only rational singularities. Let  $\Sigma$  be the singular locus of  $X$  and let  $f : Y \rightarrow X$  be a resolution of singularities such that  $f|_{Y \setminus f^{-1}(\Sigma)} : Y \setminus f^{-1}(\Sigma) \cong X \setminus \Sigma$ . Then  $f_* \Omega_Y^2 \cong i_* \Omega_U^2$  where  $U := X \setminus \Sigma$  and  $i : U \rightarrow X$  is a natural injection.*

Theorem 4 in [Na] was stated under the condition that  $X$  has rational Gorenstein singularities. We shall roughly sketch how to modify the original proof to drop the Gorenstein condition.

The first step is to drop the Gorenstein condition from Proposition 1 of [Na]:

**Proposition A-1.** *Let  $X$  be a Stein open subset of a complex algebraic variety. Assume that  $X$  has only rational singularities. Let  $\Sigma$  be the singular locus of  $X$  and let  $f : Y \rightarrow X$  be a resolution of singularities such that  $f|_{Y \setminus f^{-1}(\Sigma)} : Y \setminus f^{-1}(\Sigma) \cong X \setminus \Sigma$  and  $D := f^{-1}(\Sigma)$  is a simple normal crossing divisor. Then  $f_* \Omega_Y^2(\log D) \cong i_* \Omega_U^2$  where  $U := X \setminus \Sigma$  and  $i : U \rightarrow X$  is a natural injection.*

To prove Proposition 1, we have first taken an element  $\omega$  from  $H^0(U, \Omega_U^2)$ , and have shown that  $\omega$  has at worst a log pole at each irreducible component  $F$  of  $D$ . When  $X$  has rational Gorenstein singularities,  $(X, p) \cong (R.D.P) \times (\mathbf{C}^{n-2}, 0)$  for all singular points  $p \in X$  outside certain codimension 3 (in  $X$ ) locus  $\Sigma_0 \subset \Sigma$ . Since the proposition holds around such points, we only had to consider the case  $f(F) \subset \Sigma_0$ .

In our general case, we have to take all irreducible components  $F$  of  $D$  into consideration. So we put  $k := \dim \Sigma - \dim f(F)$ ,  $l := \text{Codim}(\Sigma \subset X)$  and continue the same argument as [Na, Proposition 1]. Here we note that a general hyperplane section  $H$  of  $X$  has again rational singularities. In proving Claim of (a-2), we have used the following vanishing (the notation being the same as [Na]):

$$R^i \pi_* \Omega_{Y_t}^{l-2}(\log D_t)(-D_t) = R^i \pi_* \Omega_{Y_t}^{l-1}(\log D_t)(-D_t) = R^i \pi_* \omega_{Y_t} = 0 \text{ for } i \geq l-1 \text{ and for } t \in \Delta.$$

Except the following cases, these vanishings follow from [St]:

$$l = 3: R^2 \pi_* \Omega_{Y_t}^1(\log D_t)(-D_t),$$

$$l = 2: R^1 \pi_* \Omega_{Y_t}^1(\log D_t)(-D_t), R^2 \pi_* \mathcal{O}_{Y_t}(-D_t), R^1 \pi_* \mathcal{O}_{Y_t}(-D_t).$$

For these exceptional cases, we can prove the vanishing by combining the method in the proof of [Na-St, Theorem (1.1)] and the fact that a rational singularity is Du Bois [Kov].

In proving Claim of (b-2) we also need similar vanishings; but they are already contained in the above cases.

Next we shall generalize Lemma 2 of [Na] as follows:

**Lemma A-2.** *Let  $p \in X$  be a Stein open neighborhood of a point  $p$  of a complex algebraic variety. Assume that  $X$  is a rational singularity of  $\dim X \geq 2$ .*

Let  $f : Y \rightarrow X$  be a resolution of singularities of  $X$  such that  $E := f^{-1}(p)$  is a simple normal crossing divisor. Then  $H^0(Y, \Omega_Y^i) \rightarrow H^0(Y, \Omega_Y^i(\log E))$  are isomorphisms for  $i = 1, 2$ .

Lemma 2 of [Na] was stated under the condition  $\dim X \geq 3$ . In the proof of [Na, Lemma 2] we have first shown that  $H_E^3(Y, \mathbf{C}) \rightarrow H^3(Y, \mathbf{C})$  and  $H_E^2(Y, \mathbf{C}) \rightarrow H^2(Y, \mathbf{C})$  are both injective. Even when  $\dim X = 2$ , these are true. First note that when  $\dim X = 2$ ,  $(X, p)$  is an isolated singularity. By taking the dual of the first map, we get the map  $H_E^1(Y, \mathbf{C}) \rightarrow H^1(E, \mathbf{C})$ . Since  $X$  is a rational singularity,  $H^1(E, \mathbf{C}) = 0$ ; thus the dual map is surjective.

The injectivity of the second map follows from the next observation:  $H^2(Y, \mathbf{C}) \cong H^1(Y, \mathcal{O}_Y^*) \otimes \mathbf{C}$ , and  $H_E^2(Y, \mathbf{C}) \cong \bigoplus \mathbf{C}[E_i]$  where  $E_i$  are irreducible components of  $E$ .

The rest of the proof of Lemma A-2 is the same as [Na, Lemma 2].

The following remark is quite similar to [Na, Remark below Lemma 2]:

**Remark A.** *In Lemma A-2, the map*

$$H^0(E, \Omega_Y^i / \Omega_Y^i(\log E)(-E)) \rightarrow H^0(E, \Omega_Y^i(\log E) / \Omega_Y^i(\log E)(-E))$$

*is surjective for  $i = 1, 2$*

Finally we shall prove

**Proposition A-3.** *Let  $X$  be a Stein open subset of a complex algebraic variety. Assume that  $X$  has only rational singularities. Let  $\Sigma$  be the singular locus of  $X$  and let  $f : Y \rightarrow X$  be a resolution of singularities such that  $D := f^{-1}(\Sigma)$  is a simple normal crossing divisor and such that  $f|_{Y \setminus D} : Y \setminus D \cong X \setminus \Sigma$ . Then  $f_* \Omega_Y^2 \cong f_* \Omega_X^2(\log D)$ .*

This is a generalization of Proposition 3 of [Na], in which the same result was stated under the condition that  $X$  has rational Gorenstein singularities.

To prove Proposition 3, we have first taken an element  $\omega$  from  $H^0(X, f_* \Omega_Y^2(\log D))$ , and have shown that  $\omega$  is regular along each irreducible component  $F$  of  $D$ . When  $X$  has rational Gorenstein singularities,  $(X, p) \cong (R.D.P) \times (\mathbf{C}^{n-2}, 0)$  for all singular points  $p \in X$  outside certain codimension 3 (in  $X$ ) locus  $\Sigma_0 \subset \Sigma$ . Since the proposition holds around such points, we only had to consider the case  $f(F) \subset \Sigma_0$ .

In our general case, we have to take all irreducible components  $F$  of  $D$  into consideration. So we put  $k := \dim \Sigma - \dim f(F)$ ,  $l := \text{Codim}(\Sigma \subset X)$  and continue the same argument as [Na, Proposition 3]. The rest of the argument is similar to [Na, Proposition 3]. In the original proof we have used [Na, Remark below Lemma 2], but now we shall use Remark A.

**Correction to [Na].** In the introduction of [Na], a conjecture has been posed as a generalization of Bogomolov splitting theorem. This conjecture should be :

Let  $Y$  be a smooth projective variety over  $\mathbf{C}$  with Kodaira dimension 0. Then there is a finite cover  $\pi : Y' \rightarrow Y$  such that (a)  $\pi$  is etale outside the support of the pluri-canonical divisor of  $Y$ , and (b)  $Y'$  is birationally equivalent to  $Y_1 \times Y_2 \times Y_3$ , where  $Y_1$  is an Abelian variety,  $Y_2$  is a symplectic variety, and  $Y_3$  is a Calabi-Yau variety.

In the old version,  $\pi$  was assumed to be a finite etale cover.

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Department of Mathematics, Graduate school of science, Osaka University,  
Toyonaka, Osaka 560, Japan